

# Rhodium-Catalyzed Cascade Oxidative Annulation Leading to Substituted Naphtho[1,8-bc]pyrans by Sequential Cleavage of C(sp<sup>2</sup>)-H/C(sp<sup>3</sup>)-H and C(sp<sup>2</sup>)-H/O-H Bonds

Xing Tan, Bingxian Liu, Xiangyu Li, Bin Li, Shansheng Xu, Haibin Song, and Baiquan Wang\*,

<sup>†</sup>State Key Laboratory of Elemento-Organic Chemistry, College of Chemistry, Nankai University, Tianjin 300071, China

Supporting Information

ABSTRACT: The cascade oxidative annulation reactions of benzoylacetonitrile with internal alkynes proceed efficiently in the presence of a rhodium catalyst and a copper oxidant to give substituted naphtho[1,8-bc]pyrans by sequential cleavage of  $C(sp^2)-H/C(sp^3)-H$  and  $C(sp^2)-H$ H/O-H bonds. These cascade reactions are highly regioselective with unsymmetrical alkynes. Experiments reveal that the first-step reaction proceeds by sequential cleavage of  $C(sp^2)-H/C(sp^3)-H$  bonds and annulation with alkynes, leading to 1-naphthols as the intermediate products. Subsequently, 1-naphthols react with alkynes by cleavage of  $C(sp^2)$ -H/O-H bonds, affording the 1:2 coupling products. Moreover, some of the naphtho [1,8-bc] pyran products exhibit intense fluorescence in the solid state.

ransition-metal-catalyzed direct C-H bond transformations have attracted significant interest, because these approaches allow the use of cheaper and more readily available starting materials. In particular, the rhodium-2 and ruthenium3catalyzed oxidation couplings of various aromatic substrates with alkynes have been extensively investigated, leading to diverse heterocyclic compounds (eqs 1 and 2). Among these examples, most of them proceed by cleavage of C-H/N-H or C-H/ O-H bonds, followed by annulation with alkynes. Encouraged by these heterocycle syntheses, we hope to apply this cyclization methodology to construct six-membered carbocylic skeletons such as naphthalene derivatives. In our initial attempt, we used benzoylacetonitrile as a substrate, expecting to synthesize 1-naphthol product by cleavage of C(sp<sup>2</sup>)-H/C(sp<sup>3</sup>)-H bonds. To our surprise, not the 1:1 but the unexpected 1:2 coupling product, a naphtho[1,8-bc]pyran derivative, was obtained in good yield (eq 3).

Substituted naphtho[1,8-bc]pyran moieties are an important structural unit present in various naturally occurring and synthetic compounds that exhibit a broad range of interesting biological<sup>4</sup> and optoelectronic properties.<sup>5</sup> However, only a few synthetic routes are reported in the literature, and most of them require a complicated multistep process or inaccessible starting materials.4-6 Recently, groups of Satoh and Miura,2k and Ackermann<sup>3g</sup> respectively reported rhodium- and rutheniumcatalyzed naphtho[1,8-bc]pyran synthesis from a 1-naphthol substrate via hydroxyl-directed C-H bond activation (eq 2).

However, very limited substituted 1-naphthol substrates were examined in their work. Therefore, it is of great interest to establish new methods to synthesize substituted naphtho [1,8-bc]pyrans from the accessible starting materials. Herein, we provide a more straightforward approach toward these compounds from readily available substituted benzoylacetonitriles.

Rh(III) or Ru(II)-catalyzed oxidative heterocycle synthesis:

$$(YH = COOH, OH, NHCOR, NHPY, CONHR, CH=NR, etc.)$$

$$R^{3} \stackrel{\text{II}}{\text{II}} + R^{2} \stackrel{\text{Rh}(III) \text{ or }}{\text{oxidant}}$$

$$R^{2} \stackrel{\text{Rh}(III) \text{ or }}{\text{oxidant}} + R^{2} \stackrel{\text{Rh}(III) \text{ or }}{\text{oxidant}}$$

$$R^{3} \stackrel{\text{II}}{\text{II}} + R^{2} \stackrel{\text{Rh}(III) \text{ or }}{\text{oxidant}}$$

$$R^{3} \stackrel{\text{H}}{=} H + 2 \stackrel{\text{R}^{1}}{=} \frac{\text{Rh}(III)\text{-cat}}{\text{oxidant}} R^{3} \stackrel{\text{R}^{2}}{=} R^{2}$$
(3)

By treating benzoylacetonitrile (1a) (0.15 mmol) with diphenylacetylene (2a) (0.3 mmol) in the presence of catalytic amounts of [Cp\*RhCl<sub>2</sub>]<sub>2</sub> (0.015 mmol) and Cu(OAc)<sub>2</sub>·H<sub>2</sub>O (0.6 mmol) in DMF at 100 °C for 10 h, as described above, the 1:2 coupling product, 2,3,7,8-tetraphenylnaphtho[1,8-bc]pyran-9-carbonitrile (3aa), was obtained in 59% yield (for detailed optimization studies, see Table S1 in the Supporting Information (SI)). The structure of 3aa was confirmed by its <sup>1</sup>H and <sup>13</sup>C NMR spectra, mass spectrometry data, and single-crystal X-ray diffraction analysis. Interestingly, using an equal amount of 1a and 2a ([1a] = [2a] = 0.3 mmol), the 1:1 coupling product was still not observed, while the yield of 3aa was increased to 81%. When using a lower ratio (0.65: 1) of 1a:2a, the yield of 3aa was similar (82%). Under the optimal reactant ratio, MeCN was also an effective solvent and gave 3aa in 81% yield. In contrast, using other solvents (PhMe, tAmOH, dioxane, PhCl) or other oxidants (Ag<sub>2</sub>CO<sub>3</sub>, AgOAc), the yield of 3aa was significantly lower.

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<sup>\*</sup>State Key Laboratory of Organometallic Chemistry, Shanghai Institute of Organic Chemistry, Chinese Academy of Sciences, Shanghai 200032, China

The use of  $AgSbF_6$ ,  $Et_3N$ , or NaOAc as an additive was ineffective in increasing the yield of **3aa**. Moreover, decreasing the loading of the  $[Cp*RhCl_2]_2$  resulted in a significantly lower yield.

With the optimal reaction conditions in hand, various substituted benzoylacetonitriles (1a-m) were treated with diphenylacetylene 2a and gave corresponding naphtho[1,8-bc]-pyran derivatives (Table 1). Thus, 4-methyl, 4-phenyl, and 4-tert-

Table 1. Scope of Rhodium-Catalyzed Cascade Oxidative Annulation with Alkynes $^a$ 

<sup>a</sup>Isolated yields are given. <sup>b</sup>MeCN was used as solvent.

butyl substituted benzoylacetonitriles 1b-d afforded naphtho-[1,8-bc]pyrans 3ba-3da in excellent yields (89–91%). Electronrich substrate 1e reacted nicely with 2a and gave 3ea in 92% yield. In the present catalytic reaction, 4-fluoro-, 4-chloro-, and 4-bromobenzoylacetonitriles 1f-h could also be tolerated, affording 3fa-3ha in good yields (72–77%). In contrast, electron-withdrawing 4-trifluoromethyl and 4-nitrobenzoylacetonitriles 1i and 1j provided 3ia, 3ja in low to moderate yields (50% for 3ia and 33% for 3ja). Subsequently, the *meta*-substituted benzoylacetonitrile scope was also explored, generating the expected products in moderate to high yields with modest regioselectivity. Thus, 3-methyl substituted 1k and  $\beta$ -naphthyl derivative 1m reacted with 2a to give 3ka-1 and 3ma-1 as the major regioisomers, whereas 3-methoxyl substituted 1l yielded equal amounts of regioisomers 3la-1 and

**3la-2.** Moreover, the effect of changing the nitrile group in **1a** to other substituents was also investigated. Thus, ethyl benzoylacetate (**1n**) and 2-nitro-1-phenylethanone (**1o**) reacted successfully with **2a**, although low to moderate yields were observed (47% for **3na** and 23% for **3oa**). Unfortunately, under the current reaction conditions, 1-phenylbutane-1,3-dione (**1p**) failed to afford the corresponding cycloadduct, possibly because of the formation of a *β*-diketonate—rhodium complex with  $\lceil \text{Cp*RhCl}_2 \rceil_2$ .

In addition to 2a, other symmetrical alkynes (2b-d) were also tested for the present reaction. Thus, methyl- (2b), methoxy- (2c), and fluoro- (2d) substituted diphenylacetylenes reacted with 1a and afforded the corresponding naphtho [1,8-bc] pyrans 3ab-ad in high yields (73-84%). To give evidence for the regioselectivity of this reaction, a few unsymmetrical alkynes were employed. In this case, four regioisomeric products could be possible. Surprisingly, 1-phenyl-1-propyne (2e), 1-phenyl-1-butyne (2f), and propargylic ether (2g) gave the single regioisomeric products 3ae-3ag in moderate to good yields (53-63%). Unfortunately, no selectivity was achieved when 1:1 ratio of different alkynes were used in this cascade reaction (see the SI).

To further demonstrate the efficiency and practicality of this cascade reaction, a scale-up reaction was performed. Thus, gramscale synthesis of **3aa** was achieved in 79% yield.

Most of the naphtho [1,8-bc] pyran derivatives 3 obtained above showed solid-state fluorescence in a range of 490–580 nm (see the SI). Notably, 3aa was found to exhibit more intense luminescence ( $\lambda_{\rm emis}$  = 535 nm), and the intensity was almost four times stronger than that of tris(8-quinolinolato) aluminum (Alq<sub>3</sub>) in the preliminary estimation.

Moreover, we tested the reactions of *ortho*-substituted benzoylacetonitriles with **2a**. In this case, there is only one  $C(sp^2)$ — H bond activation site, so only one step of the oxidative annulation with alkyne could occur. Under similar reaction conditions, *ortho*-substituted **1q** and **1r** or the  $\alpha$ -naphthyl derivative **1s** reacted effectively with **2a**, affording substituted 1-naphthols (**4qa** and **4ra**) and 4-phenanthrenol (**4sa**) in low to good yields (27–62%) (Scheme 1). These results indicate that double

Scheme 1. Rhodium-Catalyzed 1:1 Oxidative Annulation with Alkynes  $^a$ 

<sup>a</sup>Isolated yields are given.

oxidative insertion of alkynes is a stepwise process, wherein the 1-naphthol acts as an intermediate. Importantly, the reaction offers a convenient way to synthesize some derivatives of 2-naphthonitrile. As described above, the Satoh and Miura group has reported the oxidatve annulation reaction of 1-naphthol with alkyne<sup>2k</sup> affording the corresponding naphtho[1,8-bc]pyran product, which supports our hypothesis that 1-naphthol is an intermediate during the

course of these cascade reactions. Therefore, the first-step reaction should be involving the cleavage of  $C(sp^2)-H/C(sp^3)-H$  bonds. To the best of our knowledge, no similar transition-metal-catalyzed oxidative annulation reaction with alkyne by cleavage of  $C(sp^2)-H/C(sp^3)-H$  bonds has been reported. Very recently, Chen's group reported the intermolecular cylization of N-aryl-substituted azole substrates with alkynes via double  $C(sp^2)-H$  activation, leading to aza-fused polycyclic quinolines, using a similar strategy. <sup>2b</sup>

To gain more insight to the mechanism of these cascade reactions, we performed a deuterium competition experiment between substrate 1a and  $[D_s]$ -1a (Scheme 2 and SI), and a kinetic

#### Scheme 2. Experiment with Isotopically Labeled Compounds

isotope effect (KIE) of  $k_{\rm H}/k_{\rm D}\approx 9.0$  was observed. In addition, two parallel independent reactions of  ${\bf 1a}$  and  $[{\rm D_S}]$ - ${\bf 1a}$  illustrated a KIE of  $k_{\rm H}/k_{\rm D}\approx 2.8$  (see the SI). These results indicated that cleavage of the C–H bond of the phenyl ring was involved in the rate-determining step.

On the basis of known transition-metal-catalyzed C–H bond activation/annulation reactions, a possible mechanism is proposed to the present catalytic reaction (Scheme 3). The first step is likely to be a  $C(sp^3)$ –H activation process affording a Rh– $C(sp^3)$  intermediate **A**, owing to the strong acidity of these  $C(sp^3)$ –H bonds. Then, a five-membered rhodacycle **B** is formed by a subsequent *ortho*  $C(sp^2)$ –H activation process (*Path I*). An intermolecular deprotonation process for the C–H activation may be involved as proposed by the research group of Dixneuf and Jutand according to the kinetic study. Regioselective insertion of an alkyne into the Rh– $C(sp^2)$  or Rh– $C(sp^3)$  bond of intermediate **B** gives the seven-membered rhodacycle **C** or **D**, respectively. Alternatively, intermediate **A** is proposed to give a vinyl

intermediate E, which subsequently undergoes an *ortho* C-H bond activation to afford the intermediate D (*Path II*). Subsequently, the aromatization-driven reductive elimination of C or D results in 1-naphthol as the first-step product. The mechanism of the second-step annulation of 1-naphthol with alkyne is consistent with that reported by Satoh and Miura. <sup>2k</sup>

When benzoylacetonitrile 1a reacts with unsymmetrical alkynes (1e-g), single regioisomeric products 3ae-3ag were obtained. These results reveal that, in the seven-membered rhodacycle C or D, the phenyl group of the unsymmetrical alkyne is far away from the phenyl ring of benzoylacetonitrile substrate. According to the known literatures related to regioselective insertion of an unsymmetrical alkyne to a Rh-C bond (involving a Rh-C(sp³) bond  $^{2m}$ ), in the seven-membered rhodacycle the phenyl group of the alkyne gets close to the Rh-atom and is far away from the C-atom. So intermediate C is more reasonble than intermediates D and E, and  $Path\ I$  might be a plausible mechanism.

Recently, the Glorius  $^{10}$  and Cheng  $^{11}$  groups have respectively developed a rhodium-catalyzed method to synthesize indenols from aryl ketones (including substituted acetophenones) and alkynes, via ketone-assisted C–H activation. They proposed a five-membered rhodacycle intermediate with the O-atom coordinated to the Rh-atom. In contrast to their five-membered carbocyclic product synthesis, our results reveal another reaction path of acetophenones with alkynes via C–H activation and subsequent annulation, affording six-membered carbocyclic products. We envision that the different acidity of the  $C(sp^3)$ –H bond at the  $\alpha$ -position of acetophenone may be responsible for the distinct results. The  $pK_a$  value of  $\mathbf{1a}$  in DMSO is 10.2,  $^{12}$  while that of acetophenone is 24.7.

In summary, we have developed rhodium-catalyzed cascade oxidative annulation reactions of benzoylacetonitriles with alkynes, affording substituted naphtho [1,8-bc] pyrans in good yields. Moreover, these cascade reactions are highly regioselective with unsymmetrical alkynes. Further experiments revealed that the first-step reaction proceeds by sequential cleavage of  $C(sp^2)-H/C(sp^3)-H$  bonds and annulation with an alkyne, leading to 1-naphthols as the intermediate products. Subsequently, 1-naphthols react with alkyne by cleavage of  $C(sp^2)-H/O-H$  bonds, affording the 1:2 coupling products. Some of the naphtho [1,8-bc] pyran products exhibit intense fluorescence in the solid state, and this cascade

Scheme 3. Proposed Mechanistic Pathway for the Formation of 1-Naphthol Derivatives

method may contribute to the design of new molecular materials. Further applications of this method in the synthesis of other six-membered carbocyclic ring-containing targets and a detailed mechanistic investigation are in progress.

### ASSOCIATED CONTENT

## **S** Supporting Information

Detailed experimental procedures, characterization of all new compounds, and X-ray structures of 3aa, 3ka-1, 3la-2, 3ma-1, 3ae, and 3af. This material is available free of charge via the Internet at http://pubs.acs.org.

#### AUTHOR INFORMATION

#### **Corresponding Author**

bqwang@nankai.edu.cn.

#### Notes

The authors declare no competing financial interest.

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